## Solution

## Week 3 (9/30/02)

## Balancing a pencil

(a) The component of gravity in the tangential direction is  $mg \sin \theta \approx mg\theta$ . Therefore, the tangential F = ma equation is  $mg\theta = m\ell\ddot{\theta}$ , which may be written as  $\ddot{\theta} = (g/\ell)\theta$ . The general solution to this equation is

$$\theta(t) = Ae^{t/\tau} + Be^{-t/\tau}, \quad \text{where } \tau \equiv \sqrt{\ell/g}.$$
 (1)

The constants A and B are found from the initial conditions,

$$\theta(0) = \theta_0 \implies A + B = \theta_0,$$
  
 $\dot{\theta}(0) = \omega_0 \implies (A - B)/\tau = \omega_0.$  (2)

Solving for A and B, and then plugging into eq. (1) gives

$$\theta(t) = \frac{1}{2} (\theta_0 + \omega_0 \tau) e^{t/\tau} + \frac{1}{2} (\theta_0 - \omega_0 \tau) e^{-t/\tau}.$$
 (3)

(b) The constants A and B will turn out to be small (they will each be of order  $\sqrt{\hbar}$ ). Therefore, by the time the positive exponential has increased enough to make  $\theta$  of order 1, the negative exponential will have become negligible. We will therefore ignore the latter term from here on. In other words,

$$\theta(t) \approx \frac{1}{2} \left(\theta_0 + \omega_0 \tau\right) e^{t/\tau}.$$
 (4)

The goal is to keep  $\theta$  small for as long as possible. Hence, we want to minimize the coefficient of the exponential, subject to the uncertainty-principle constraint,  $(\ell\theta_0)(m\ell\omega_0) \geq \hbar$ . This constraint gives  $\omega_0 \geq \hbar/(m\ell^2\theta_0)$ . Hence,

$$\theta(t) \ge \frac{1}{2} \left( \theta_0 + \frac{\hbar \tau}{m\ell^2 \theta_0} \right) e^{t/\tau}. \tag{5}$$

Taking the derivative with respect to  $\theta_0$  to minimize the coefficient, we find that the minimum value occurs at

$$\theta_0 = \sqrt{\frac{\hbar \tau}{m\ell^2}} \,. \tag{6}$$

Substituting this back into eq. (5) gives

$$\theta(t) \ge \sqrt{\frac{\hbar \tau}{m\ell^2}} e^{t/\tau}.$$
 (7)

Setting  $\theta \approx 1$ , and then solving for t gives (using  $\tau \equiv \sqrt{\ell/g}$ )

$$t \le \frac{1}{4} \sqrt{\frac{\ell}{g}} \ln \left( \frac{m^2 \ell^3 g}{\hbar^2} \right). \tag{8}$$

With the given values,  $m=0.01\,\mathrm{kg}$  and  $\ell=0.1\,\mathrm{m}$ , along with  $g=10\,\mathrm{m/s^2}$  and  $\hbar=1.06\cdot10^{-34}\,\mathrm{Js}$ , we obtain

$$t \le \frac{1}{4}(0.1 \,\mathrm{s}) \ln(9 \cdot 10^{61}) \approx 3.5 \,\mathrm{s}.$$
 (9)

No matter how clever you are, and no matter how much money you spend on the newest, cutting-edge pencil-balancing equipment, you can never get a pencil to balance for more than about four seconds.

REMARKS: This smallness of this answer is quite amazing. It is remarkable that a quantum effect on a macroscopic object can produce an everyday value for a time scale. Basically, the point here is that the fast exponential growth of  $\theta$  (which gives rise to the log in the final result for t) wins out over the smallness of  $\hbar$ , and produces a result for t of order 1. When push comes to shove, exponential effects always win.

The above value for t depends strongly on  $\ell$  and g, through the  $\sqrt{\ell/g}$  term. But the dependence on m,  $\ell$ , and g in the log term is very weak. If m were increased by a factor of 1000, for example, the result for t would increase by only about 10%. Note that this implies that any factors of order 1 that we neglected throughout this problem are completely irrelevant. They will appear in the argument of the log term, and will thus have negligible effect.

Note that dimensional analysis, which is generally a very powerful tool, won't get you too far in this problem. The quantity  $\sqrt{\ell/g}$  has dimensions of time, and the quantity  $\eta \equiv m^2 \ell^3 g/\hbar^2$  is dimensionless (it is the only such quantity), so the balancing time must take the form

$$t \approx \sqrt{\frac{\ell}{g}} f(\eta),$$
 (10)

where f is some function. If the leading term in f were a power (even, for example, a square root), then t would essentially be infinite ( $t \approx 10^{30} \, \mathrm{s}$  for the square root). But f in fact turns out to be a log (which you can't determine without solving the problem), which completely cancels out the smallness of  $\hbar$ , reducing an essentially infinite time down to a few seconds.